Docket No.

261028US2X PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Naoki SUGANO, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP03/05138

GAU:

FILED:

Herewith

EXAMINER:

FOR:

ROTATINGLY DRIVING DEVICE OF CONSTRUCTION MACHINERY

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SHEET 1 OF 1

Form PTO 1449 (Modified)			ATTY DOCKET NO.		SERIAL NO / 5 1 2 U 8 New U.S. PCT Application Based on PCT/JP03/05138				
,			261028US2X PCT		Based on PCT/JP03/05138				
				APPLICANT					
LIST OF REFERENCES CITED BY APPLICANT			Naoki SUGANO, et al.						
				FILING DATE		GROUP			
				Herewith					
				U.S. PATENT DOCUMENTS	,				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB FILING DATE CLASS IF APPROPRIATE			
	AA	6,304,835	10/16/01	HIRAMATSU, Shigeki et al. (Equivalent to JP 11-282897)					
	AB								
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			FO	REIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YESNO			
	AO	2001-11897	01/16/01	JP(With English abstract)			NO		
	AP	1 193 160	04/03/02	EP(Equivalent to JP 2002-104221)			NO		
***	AQ	2002-62230	02/28/02	JP			NO		
	AR								
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)									
	AW								
	AX								
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	AZ			Additional References sheet(s) attached					
Examiner					Date Considered				
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									